

Senior Managers Regime

Providing Independent Advice to Senior Managers

Introduction

With the onset of the new Senior Managers Regime (SMR), firms affected will need to make sure that their employees are properly advised of the new changes. To that end we can offer an independent advice service to explain both the legal and practical aspects of SMR. This will ensure that your senior managers fully understand their obligations and liabilities and therefore avoid potential issues and difficulties arising in the future. We understand that employers will be providing their own information to senior managers and as part of our advice service we would review the internal information packs provided, as well as providing an independent advice service.



About us

Slater and Gordon's London employment law team are ranked number one for senior executives in both 2016's Chambers & Partners and Legal 500. Our team has consistently achieved this rating for a number of years. We offer pragmatic and efficient advice and specialise in the financial services sector.

Group presentation

We are able to provide a group presentation to senior managers setting out the main points to be aware of in the new regime. This will include:

- Senior managers' liabilities
- Statements of responsibility
- Responsibility maps
- Handover certificates
- Regulatory references/conduct rules

This is an opportunity to discuss common issues of interest to the group of senior managers as a whole.

Individual consultations

Clearly senior managers should take specific advice applicable to their own function within the firm. There may be questions which they will not wish to raise in the group presentation. This service will ensure that confidentiality is preserved and that advice is tailored to the individual needs of the senior manager. Clients would have the opportunity to raise questions in advance of the meeting.

We can arrange to conduct these meetings in person either at

our office or yours and/or advise by telephone.

Follow up

We can offer advice beyond the onset of the new regime as and when issues arise. Clients can contact us directly for further advice as necessary. We consistently respond to enquiries promptly and recognise the urgency of any enquiries that may arise from senior managers.

Please do not hesitate to contact us for further information. We provide competitive fee quotes according to your requirements, including providing group advice packages on a fixed fee basis, based on consultation according to your legal needs.

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Please feel free to discuss your own position and concerns. Contact your nearest office on:

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